

JC07 Rec'd PCT/PTO 15 MAY 2001

Attorney's Docket Number
46509-253995 (23890)

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. Application No.
(if known, see 37 CFR 1.5)

09/787,016

International Application No.
PCT/GB99/03019

International Filing Date
10 September 1999 (10.09.99)

Priority Date Claimed
10 September 1998 (10.09.98)

Title of Invention
NEW GENE

Applicant(s) for DO/EO/US

ALONSO, Carlos Martinez; DOMINGO, David Garcia; GRANDIEN, Alf; LEONARDO, Esther;
MARTINEZ, Pedro

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
- ☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Transmittal of Corrected Version dated 13 March 2001, of "Notification of Transmittal of the International Preliminary Examination Report"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on May 11, 2001.

Roger T. Frost - Reg. No. 22,176

U.S. Application No. (if known, see 37 CFR 1.5) 09/787,016	International Application No. PCT/GB99/03019	Attorney's Docket Number 46309-253995 (23890)
--	--	---

17. ☐ The following fees are submitted:
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):

Neither international preliminary examination fee (37 CFR 1.482)
 nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
 and International Search Report not prepared by the EPO or JPO \$970.00

International preliminary examination fee (37 CFR 1.482) not
 paid to USPTO but International Search Report prepared by the
 EPO or JPO \$840.00

International preliminary examination fee (37 CFR 1.482) not
 paid to USPTO but international search fee (37 CFR 1.445(a)(2))
 paid to USPTO \$760.00

International preliminary examination fee (37 CFR 1.482) paid
 to USPTO but all claims did not satisfy provisions of
 PCT Article 33(1)-(4) \$670.00

International preliminary examination fee (37 CFR 1.482) paid
 to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$

\$

Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	\$																					
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">Claims</th> <th style="width: 20%;">Number Filed</th> <th style="width: 20%;">Number Extra</th> <th style="width: 20%;">Rate</th> <th style="width: 20%;"></th> </tr> <tr> <td>Total claims</td> <td>- 20 =</td> <td></td> <td>x 18.00</td> <td>\$</td> </tr> <tr> <td>Independent Claims</td> <td>- 3 =</td> <td></td> <td>x 78.00</td> <td>\$</td> </tr> <tr> <td colspan="3">Multiple Dependent Claims (if applicable)</td> <td>+ 260.00</td> <td>\$</td> </tr> </table>	Claims	Number Filed	Number Extra	Rate		Total claims	- 20 =		x 18.00	\$	Independent Claims	- 3 =		x 78.00	\$	Multiple Dependent Claims (if applicable)			+ 260.00	\$	\$	
Claims	Number Filed	Number Extra	Rate																			
Total claims	- 20 =		x 18.00	\$																		
Independent Claims	- 3 =		x 78.00	\$																		
Multiple Dependent Claims (if applicable)			+ 260.00	\$																		
TOTAL OF ABOVE CALCULATIONS =			\$																			
Reduction of 1/2 for filing by small entity, if applicable. Applicant claims small entity status.			\$																			
SUBTOTAL =			\$																			
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$																			
TOTAL NATIONAL FEE =			\$																			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			\$																			
TOTAL FEES ENCLOSED =			\$																			
			Amount to be refunded:	\$																		
			charged:	\$																		

a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 11-0855 in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 11-0855. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:
 Roger T. Frost
 Kilpatrick Stockton, LLP
 2400 Monarch Tower, 3424 Peachtree Road, N.E.
 Atlanta, Georgia 30326
 Telephone: 404-949-2400

Roger T. Frost, Reg. No. 22,176